

Please type a plus sign (+) in this box ☒

06-22-01

PTO/SB/16 (12-97)

Approved for use through 09/30/2000. OMB 0651-0032

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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+ A

UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No.	99-315A
First Inventor or Application Identifier	Karen L. Coates
Title	Cermet Thin Film Resistors
Express Mail Label No.	EL 828273553 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO

Assistant Commissioner for
Patents
Box Patent Application
Washington, DC 20231

- ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- ☒ Specification [Total Pages 10]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed Sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets. 2]
- ☒ Oath or Declaration [Total Sheets. .]
 - ☐ Newly executed (original or copy)
 - ☒ Copy from a prior application (37 C.F.R. § 1.63(d))

for continuation/divisional with Box 17 completed)

☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.133(b).

- ☐ Microfiche Computer Program (Appendix)
- ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - ☐ Computer Readable Copy
 - ☐ Paper Copy (Identical to computer copy)
 - ☐ Statement Verify identify of above copies

ACCOMPANYING APPLICATION PARTS

- ☐ Assignment Papers (cover sheet & document(s))
- ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of Attorney
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- ☒ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
- ☐ *Small Entity ☐ Statement filed in prior application
Status still proper and desired (PTO/SB/09-12)
- ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
- ☐ Other: _____

* NOTE FOR ITEMS 1 & 14: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No: 09/573,281

Prior application information:

Examiner

K. Easthom

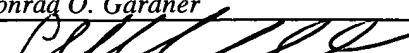
Group/Art Unit

2832

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying application continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	026471 (Insert Customer No. or Attach barcode label here):	or <input checked="" type="checkbox"/> Correspondence address below
Name	Conrad O. Gardner	
Address	7755 E. Marginal Way S., P.O. Box 3707, M/C 13-08	
City	Seattle	State Washington Zip 98124-2207
Country	USA	Telephone 206-655-7997 Fax 206-655-5076

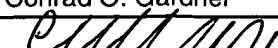
Name (Print/Type)	Conrad O. Gardner	Registration No (Attorney/Agent)	22,462
Signature		Date	6/25/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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FEE TRANSMITTAL Patent fees are subject to annual revision on October 1. These are the fees effective October 1, 2000. Small Entity payments <u>must</u> be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.		Complete if Known																																																																																																																													
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1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to: Deposit Account Number 02-2960 <input checked="" type="checkbox"/> Charge Any additional Fee Required Under 37 C.F.R. §§ 1.1 and 1.17 <input type="checkbox"/> Charge the Issue Fee Set in 37 C.F.R. §§ 1.18 at the Mailing of the Notice of Allowance 2. <input type="checkbox"/> Payment enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Money order <input type="checkbox"/> Other		3. ADDITIONAL FEES <table style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Large Entity Fee</th> <th style="text-align: left;">Small Entity Fee</th> <th style="text-align: left;">Fee Description</th> <th style="text-align: right;">Fee Paid</th> </tr> </thead> <tbody> <tr> <td>Code (\$)</td> <td>Code (\$)</td> <td></td> <td></td> </tr> <tr> <td>105 130</td> <td>205 65</td> <td>Surcharge - late filing fee or oath</td> <td style="text-align: right;">\$</td> </tr> <tr> <td>127 50</td> <td>227 25</td> <td>Surcharge - late provisional filing fee Or cover sheet</td> <td style="text-align: right;">\$</td> </tr> <tr> <td>139 130</td> <td>139 130</td> <td>Non-English specification</td> <td style="text-align: right;">\$</td> </tr> <tr> <td>147 2,520</td> <td>147 2,520</td> <td>For filing a request for reexamination</td> <td style="text-align: right;">\$</td> </tr> <tr> <td>112 920*</td> <td>112 920*</td> <td>Requesting publication of SIR Prior to Examiner action</td> <td style="text-align: right;">\$</td> </tr> <tr> <td>115 110</td> <td>215 55</td> <td>Extension for reply within first month</td> <td style="text-align: right;">\$</td> </tr> <tr> <td>116 390</td> <td>216 195</td> <td>Extension for reply within second month</td> <td style="text-align: right;">\$</td> </tr> <tr> <td>117 890</td> <td>217 445</td> <td>Extension for reply within third month</td> <td style="text-align: right;">\$</td> </tr> <tr> <td>118 1,390</td> <td>218 695</td> <td>Extension for reply within fourth month</td> <td style="text-align: right;">\$</td> </tr> <tr> <td>128 1,890</td> <td>228 945</td> <td>Extension for reply within fifth month</td> <td style="text-align: right;">\$</td> </tr> <tr> <td>119 310</td> <td>219 155</td> <td>Notice of Appeal</td> <td style="text-align: right;">\$</td> </tr> <tr> <td>120 310</td> <td>220 155</td> <td>Filing a brief in support of an appeal</td> <td style="text-align: right;">\$</td> </tr> <tr> <td>121 270</td> <td>221 135</td> <td>Request for oral hearing</td> <td style="text-align: right;">\$</td> </tr> <tr> <td>179 710</td> <td>279 355</td> <td>Request for continued examination (RCE)</td> <td style="text-align: right;">\$</td> </tr> <tr> <td>138 1,510</td> <td>138 1,510</td> <td>Petition to institute a public use proceeding.</td> <td style="text-align: right;">\$</td> </tr> <tr> <td>140 110</td> <td>240 55</td> <td>Petition to revive - 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